

| TRANSMITTAL FORM (to be used for all correspondence after initial filing)   |                                   |                                    | Application No.                               | 10/698,870  |  |  |  |
|---|-----------------------------------|------------------------------------|---|---|--|--|--|
|   |                                   |                                    | Filing Date                                   | October 31, 2003  |  |  |  |
|   |                                   |                                    | First Named Inventor                          | Kang-Ho Park  |  |  |  |
|   |                                   |                                    | Art Unit                                      |   |  |  |  |
|   |                                   |                                    | Examiner Name                                 |   |  |  |  |
| Total Number of F   | Pages in This Submissio           | n 10                               | Attorney Docket Number                        | 3364P115  |  |  |  |
|   | ENCLOSURES (check all that apply) |                                    |   |   |  |  |  |
| Fee Transmittal   | Form                              | Drawing(s                          | )   | After Allowance Communication to Group                            |  |  |  |
| Fee Attach  | ned                               | Licensing-r                        | elated Papers                                 | Appeal Communication to Board of Appeals and Interferences        |  |  |  |
| Amendment / Re  | sponse                            | Petition                           |   | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |  |  |  |
| After Fina Affidavits/  | l<br>declaration(s)               | Petition to 0 Provisional          | Convert a<br>Application                      | Proprietary Information   |  |  |  |
| Extension of Tim  | e Request                         | Power of A Change of               | ttorney, Revocation<br>Correspondence Address | Status Letter   |  |  |  |
| Express Abandonment Request   |                                   | Terminal [                         | Disclaimer                                    | Other Enclosure(s) (please identify below):                       |  |  |  |
| Information Disclosure Statement  |                                   | Request for                        | r Refund                                      | Korean Office Action; 2 prior art references; return              |  |  |  |
| PTO/SB/08   |                                   | CD, Numb                           | er of CD(s)                                   | postcard  |  |  |  |
| Certified Copy of Priority Document(s)  |                                   |                                    |   |   |  |  |  |
| Response to Mis Incomplete Appli  | ssing Parts/<br>cation            | Remarks                            |   |   |  |  |  |
| Basic   | Filing Fee                        |                                    |   |   |  |  |  |
|   | aration/POA                       |                                    |   | ·   |  |  |  |
| Response<br>Parts und<br>1.52 or 1.5  | e to Missing<br>er 37 CFR<br>53   |                                    |   |   |  |  |  |
|   | SIGNATUR                          | E OF APPLICA                       | NT, ATTORNEY, OR AG                           | GENT  |  |  |  |
| Firm Eric S. Hyman, Reg. No. 30   |                                   |                                    | ,139  |   |  |  |  |
| or Individual name BLAKELY, SOKOKOEF,   |                                   |                                    | TAYLOR & ZAFI                                 | MAN LLP   |  |  |  |
| Signature   |                                   |                                    |   |   |  |  |  |
| Date () 2/19/09   |                                   |                                    |   |   |  |  |  |
| CERTIFICATE OF MAILING/TRANSMISSION   |                                   |                                    |   |   |  |  |  |
| I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. |                                   |                                    |   |   |  |  |  |
| Typed or printed n  |                                   |                                    |   |   |  |  |  |
| Signature   |                                   | Signature Welin Stead Date 3-15-05 |   |   |  |  |  |

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## FEE TRANSMITTAL for FY 2005 Patent fees are subject to annual revision. Applicant claims small entity status. See 37 CFR 1.27. TOTAL AMOUNT OF PAYMENT (\$) Complete if Known Application Number 10/698,870 Filing Date October 31, 2003 First Named Inventor Kang-Ho Park Examiner Name Art Unit Attorney Docket No. 3364P115

| TOTAL AMOUNT C   | F PAYMEN                  | T           | Attorney Docket No.  | 3364P115                |          |  |  |  |
|--|---------------------------|-------------|--|-------------------------|----------|--|--|--|
| METHOD OF PAYMENT (check all that apply)   |                           |             |  |                         |          |  |  |  |
| Check Credit card Money Order None Other (please identify):  |                           |             |  |                         |          |  |  |  |
| Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP   |                           |             |  |                         |          |  |  |  |
| For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)   |                           |             |  |                         |          |  |  |  |
| ☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  ☐ Charge any additional fee(s) or underpayment of fee(s) ☐ Credit any overpayments |                           |             |  |                         |          |  |  |  |
| Charge any additional fee(s) or underpayment of fee(s)   |                           |             |  |                         |          |  |  |  |
| FEE CALCULATION  |                           |             |  |                         |          |  |  |  |
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|  | Large Entity Small Entity |             |  |                         |          |  |  |  |
| Fee Fee<br>Code (\$)   | Fee<br>Code               | Fee<br>(\$) | Fee Description  |                         | Fee Paid |  |  |  |
| 1051 130   | 2051                      |             | Surphargo loto filing foo or ooth  |                         |          |  |  |  |
| 1052 50  | 2052                      |             | Surcharge - late filing fee or oath  |                         |          |  |  |  |
| 2053 130   | 2053                      |             | Surcharge - late provisional filing fee or cover sheet.  Non-English specification |                         |          |  |  |  |
| 1251 120   | 2251                      | 60          | Extension for reply within first month   |                         |          |  |  |  |
| 1252 450   | 2252                      | 225         | Extension for reply within second month  |                         |          |  |  |  |
| 1253 1,020   | 2253                      |             | Extension for reply within third month   |                         |          |  |  |  |
| 1254 1,590   | 2254                      |             | Extension for reply within fourth mont   |                         |          |  |  |  |
| 1255 2,160   | 2255                      |             | Extension for reply within fifth month   |                         |          |  |  |  |
| 1401 500   | 2401                      | 250         | Notice of Appeal   |                         |          |  |  |  |
| 1402 500   | 2402                      | 250         | Filing a brief in support of an appeal   |                         |          |  |  |  |
| 1403 1,000   | 2403                      | 500         | Request for oral hearing   |                         |          |  |  |  |
| 1451 1,510   | 2451                      |             | Petition to institute a public use proceeding                                      |                         |          |  |  |  |
| 1460 130   | 2460                      | 130         | Petitions to the Commissioner  |                         |          |  |  |  |
| 1807 50  | 1807                      | 50          | Processing fee under 37 CFR 1.17(q)  |                         |          |  |  |  |
| 1806 180   | 1806                      | 180         | Submission of Information Disclosure Stmt  |                         |          |  |  |  |
| 1809 790   | 1809                      | 395         | Filing a submission after final rejection (37 CFR § 1.129(a))                      |                         |          |  |  |  |
| 1810 790   | 2810                      | 395         | or each additional invention to be examir  | ned (37 CFR § 1.129(b)) |          |  |  |  |
| Other fee (spe   | ecify) _                  |             | apage transport of the first   |                         |          |  |  |  |
|  | SUBTOTAL (2) (\$)         |             |  |                         |          |  |  |  |
| CUDALTED BY  |                           |             |  |                         |          |  |  |  |

Based on PTO/SB/17 (12-04) as modified by Blakely, Solokoff, Taylor & Zafman (wio 2 SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Docket No.: 3364P115



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

KANG-HO PARK, ET AL.

Application No.: 10/698,870

Filed: October 31, 2003

For: Information Storage Medium and Optical

**Device Using The Same** 

Art Group:

Examiner:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The references were cited in a Search Report dated January 28, 2005 (copy enclosed herewith) in a counterpart Korean application, which was forwarded to Applicant's Representative in a communication dated January 31, 2005.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

|       | ı       | Respectfully submitted,                |
|-------|---------|--|
| Date: | 3/1401/ | BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP |
| -     |         | Eric S. Hyman, Reg. No. 30,139         |

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (310) 207-3800

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Melissa Stead Date

-2- 3364P115

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Supstitute for form 1449A/PTO

## NFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

**Sheet** 1 of 1

| Complete if Known      |                  |   |  |  |
|------------------------|------------------|---|--|--|
| Application Number     | 10/698,870       |   |  |  |
| Filing Date            | October 31, 2003 |   |  |  |
| First Named Inventor   | Kang-Ho Park     |   |  |  |
| Art Unit               |                  |   |  |  |
| Examiner Name          |                  | - |  |  |
| Attorney Docket Number | 3364P115         |   |  |  |

| U.S. PATENT DOCUMENTS |              |   |   |  |   |  |
|-----------------------|--------------|---|---|--|---|--|
| Examiner<br>Initials* | Cite<br>No.¹ | Document Number  Number - Kind Code <sup>2</sup> (if known) | Publication Date or Issue Date MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |  |
|                       |              | US-   |   |  |   |  |
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|                | •   | FOI                            | REIGN PATEN  | IT DOCUMENTS  |    |    |
|----------------|---|--------------------------------|--|---|----|----|
| Initials* No.1 | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY |  | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | 76 |    |
|                | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                | Name of Patentee or<br>Applicant of Cited Document |   | ľ° |    |
|                |   | JP 1988-0013125                | 11-30-1988   |   |    | A  |
|                |   | JP 10-261-248                  | 09-29-1998   | Canon Inc.  |    | al |
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| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |
|           |            |  |

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.